

B422-167

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hiroshi Satomi, et al.

Serial No. : 09/921,952

Filed : August 3, 2001

For : INFORMATION PROVIDING SYSTEM AND DEVICE  
AS WELL AS METHOD, PROGRAM AND STORAGE  
MEDIUM FOR THE SAME

Examiner : Unassigned

Art Unit : 2645

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Please note that the filing receipt for the above-identified application incorrectly indicates that the applicants submitted a non-publication request. Such a request was not made by the applicants and the filing papers for this application are enclosed showing that such a request was not made. In addition, the previous updated filing receipt received for this application correctly indicates that such a request was not made. (see enclosure). The new filing receipt therefore needs to be corrected to show that no non-publication request was made and to indicate the projected publication date. We have attached a copy of the filing receipt indicating the necessary changes. We would appreciate immediate attention by the USPTO to correct this error. Please do not hesitate to contact me if you require additional information or have any questions.

Dated: January 17, 2002

Respectfully submitted,

ROBIN, BLECKER & DALEY  
330 Madison Avenue  
New York, NY 10017  
T (212) 682-9640Marylee Jenkins  
Reg. No. 37,645  
An Attorney of Record

I hereby certify that this correspondence is being faxed to the United States Patent and Trademark Office to facsimile number (703) 308-7751 on the date shown below:

January 17, 2002

MARYLEE JENKINS

Signature

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FEB 01 2002

Technology Center 2600



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/921,952	08/03/2001	2645	2040	B422-167	34	60	9

CONFIRMATION NO. 8387

26272  
ROBIN BLECKER & DALEY  
2ND FLOOR  
330 MADISON AVENUE  
NEW YORK, NY 10017

## CORRECTED FILING RECEIPT



\*OC00000007260852\*

Date Mailed: 01/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hiroshi Satomi, Kanagawa, JAPAN;  
Satoshi Igeta, Kanagawa, JAPAN;  
Atsushi Inoue, Tokyo, JAPAN;  
Kosuke Ito, Tokyo, JAPAN;  
Kenichiro Matsuura, Kanagawa, JAPAN;

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JAN 10 2002

Domestic Priority data as claimed by applicant

ROBIN, BLECKER &amp; DALEY

## Foreign Applications

JAPAN 239979/2000 08/08/2000

If Required, Foreign Filing License Granted 09/07/2001

Projected Publication Date: ~~Request for Non-Publication Acknowledged~~ 03/21/2002Non-Publication Request: ~~Yes~~ No

## \*\*\*\*\*WARNING\*\*\*\*\*

Applicant has requested non-publication, certifying that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing; and has also claimed the benefit of an application filed in another country or under a multilateral agreement. Because the non-publication request and corresponding certification appears to be inconsistent with the priority claim, applicant is reminded that he/she has an obligation to promptly notify the Office and rescind the non-publication request if the invention disclosed in this application is the subject of an application filed in another country, or under a multilateral agreement, that requires eighteen-month publication.

## \*\*\*\*\*WARNING\*\*\*\*\*

Early Publication Request: No

Title

Information providing system and device as well as method, program and storage medium for the same

Preliminary Class

379

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/921,952	08/03/2001	2645	2040	B422-167	34	60	9

26272  
ROBIN BLECKER & DALEY  
2ND FLOOR  
330 MADISON AVENUE  
NEW YORK, NY 10017

CONFIRMATION NO. 8387

## UPDATED FILING RECEIPT



\*OC00000007178460\*

Date Mailed: 12/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hiroshi Satomi, Kanagawa, JAPAN;  
Satoshi Igeta, Kanagawa, JAPAN;  
Atsushi Inoue, Tokyo, JAPAN;  
Kosuke Ito, Tokyo, JAPAN;  
Kenichiro Matsuura, Kanagawa, JAPAN;

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DEC 13 2001

ROBIN BLECKER &amp; DALEY

Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 239979/2000 08/08/2000

If Required, Foreign Filing License Granted 09/07/2001

Projected Publication Date: 03/21/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Information providing system and device as well as method, program and storage medium for the same

**Preliminary Class**

379

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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Express Mail Label No. EL2560 US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
B422-167Total Pages in this Submission  
4**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**INFORMATION PROVIDING SYSTEM AND DEVICE AS WELL AS METHOD, PROGRAM AND STORAGE MEDIUM FOR THE SAME**

and invented by:

**Hiroshi Satomi, Satoshi Igeta; Atsushi Inoue; Kosuke Ito; Kenichiro Matsuura**

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 99 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
B422-167Total Pages in this Submission  
4**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets 34
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☐ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing

☐ First Class      ☒ Express Mail *(Specify Label No.):* EL256031980US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
B422-167Total Pages in this Submission  
4**Accompanying Application Parts (Continued)**15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*16. ☒ Additional Enclosures *(please identify below):*

Claim is made under 35 USC 119 for the benefit of the filing of Japanese Patent Application No. 239979/2000 filed August 8, 2000. A certified copy of the application will be filed in due course.

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

*An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.*



# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
B422-167

Total Pages in this Submission  
4

### Fee Calculation and Transmittal


#### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	60	- 20 =	40	x \$18.00	\$720.00
Indep. Claims	9	- 3 =	6	x \$80.00	\$480.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,910.00

- ☒ A check in the amount of \$1,910.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 18-1644 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: August 3, 2001

cc: CUSTOMER ID. NO. 26272

  
 Signature  
 John J. Torrente  
 Reg. No. 26,359  
 Attorney for Applicant  
 Filed Under 1.34(a)  
 Robin, Blecker & Daley  
 330 Madison Avenue  
 New York, NY 10017  
 (212) 682-9640

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FEB 01 2002

ROBIN, BLECKER &amp; DALEY Technology Center 2600

330 Madison Avenue  
New York, New York 10017-5001

## FACSIMILE TRANSMITTAL SHEET

TO:	FROM: Marylee Jenkins
COMPANY: United States Patent & Trademark Office	DATE: January 17, 2002
FAX NUMBER: 703-308-7751	TOTAL NO. OF PAGES INCLUDING COVER: 10
PHONE NUMBER:	SENDER'S REFERENCE NUMBER: B422-167
RE: Request for Corrected Filing Receipt	YOUR REFERENCE NUMBER: 09/921,952

☐ URGENT ☒ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

## NOTES/COMMENTS:

We are forwarding herewith a Request for Corrected Filing Receipt in the application of Hiroshi Satomi, et al. Serial No. 09/921,952 for INFORMATION PROVIDING SYSTEM AND DEVICE AS WELL AS METHOD, PROGRAM AND STORAGE MEDIUM FOR THE SAME filed August 3, 2001; in Group 2645.

I hereby certify that this correspondence is being faxed to the United States Patent and Trademark Office to facsimile number 308-7751 on the date shown below.

MARYLEE JENKINS  
Signature

January 17, 2002  
January 17, 2002  
Date of Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 8387

<b>SERIAL NUMBER</b> 09/921,952	<b>FILING DATE</b> 08/03/2001 <b>RULE</b>	<b>CLASS</b> 379	<b>GROUP ART UNIT</b> 2645	<b>ATTORNEY DOCKET NO.</b> B422-167
<b>APPLICANTS</b> Hiroshi Satomi, Kanagawa, JAPAN; Satoshi Igeta, Kanagawa, JAPAN; Atsushi Inoue, Tokyo, JAPAN; Kosuke Ito, Tokyo, JAPAN; Kenichiro Matsuura, Kanagawa, JAPAN;				
<b>** CONTINUING DATA *****</b>  <b>** FOREIGN APPLICATIONS *****</b> JAPAN 239979/2000 08/08/2000				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 09/07/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 34	<b>TOTAL CLAIMS</b> 60
<b>INDEPENDENT CLAIMS</b> 9				
<b>ADDRESS</b> 26272				
<b>TITLE</b> Information providing system and device as well as method, program and storage medium for the same				
<b>FILING FEE RECEIVED</b> 2040	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	